

Financial Services Authority

Helpdesk: 020 7066 0019
Local Fax: 020 7066 0017
Email: iva@fsa.gov.uk



Financial Services Authority: Notice of Approval to perform Controlled Function(s)

The individual named below is approved under section 59 of the Financial Services and Markets Act 2000 to perform the controlled function(s) as set out below and where indicated is noted as responsible for Insurance Mediation:

Individual Name: Stephen Ellis
*Individual Reference Number: SXD00098
Date of Birth: 14 December 1957
NI Number: YZ148444B

Firm Name	Firm Ref	Controlled Function(s)/ Insurance Mediation Responsibilities	Date(s)
Stephen Ellis	452196	Compliance Oversight Investment Adviser	12 June 2006 12 June 2006

If an individual has questions relating to the above, he/she should direct these to the relevant firm.

Pat Knox
Manager
Authorisation & Approvals Department
12 June 2006

*The Individual Reference Number (which is unique to that individual) should be quoted on all future correspondence with the FSA concerning that individual.

Stephen Ellis

Status: Authorised

(Reference number: 452196)

This is a firm that is given permission to provide regulated products and services. **This firm has requirements or restrictions placed on the financial services activities that it can operate.** Requirements or restrictions can include suspensions. See the [requirements applying to the firm](#).

The [Financial Ombudsman Service](#) may be able to consider a dispute with this firm. Find out [how to complain](#).

The [Financial Services Compensation Scheme \(FSCS\)](#) may be able to compensate customers if this firm fails. See [how to claim compensation](#).

[Read about how this firm may be able to hold or control money from its customers](#)

Contact Details

Principal place of business

Address: Box 2096
Worthing
West Sussex
BN12 9AS
UNITED KINGDOM

Phone: 44 3309990393

Fax:

Email: contact@affinityfinance.co.uk

Website: www.affinityfinance.co.uk

Complaints

Contact Name Steve Ellis

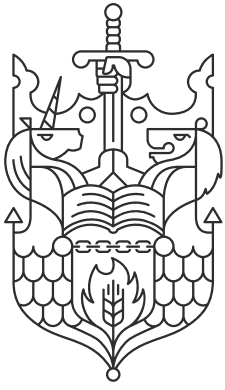
Address: Box 2096
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West Sussex
BN12 9AS
UNITED KINGDOM

Phone: +44 3309990393

Fax: +44

Email: contact@affinityfinance.co.uk

Website: www.affinityfinance.co.uk



Chartered
Insurance
Institute

Standards. Professionalism. Trust.



Statement of Professional Standing

Mr Steve Ellis

FCA Individual Reference Number:

SXD00098

People must either be approved by the Financial Conduct Authority (FCA) or be certified by their employer before giving financial advice. You can check if this person is approved by the FCA to give advice, or if they are certified by their employer to give advice, by going to register.fca.org.uk/s/ and searching with their individual reference number as shown above.

The Chartered Insurance Institute (CII) has issued this statement to the above named adviser. The CII has checked that the adviser meets the required qualification standard and confirms the adviser has signed an annual declaration stating that they have kept their knowledge up to date and complied with the FCA Code of Conduct.

Date of issue:

1 June 2023

Valid until:

31 May 2024

Alan Vallance
Chief Executive Officer

The Chartered Insurance Institute (CII) is the premier professional body for the insurance and financial planning sector with over 120,000 members in more than 150 countries. It promotes higher standards of integrity, technical competence and business capability. The person named above is a member of the CII and is bound by a Code of Ethics as a condition of membership. For more information, visit cii.co.uk/membersearch