Completed By:	
Initial Date:	
Update:	

Affinity Finance
Independent Financial Advisors

Client Information File

Your Financial Circumstances, Attitudes, & Objectives

CLIENT	
LOCATION / TELEPHONE	

Advice Basis: **Full (Objective) Review**

Information Status: **First Fact File**

The purpose of this form is simply for us to share information about you, in order to provide you with the right Financial Advice. Please therefore take sufficient time to complete it carefully in as far as it is appropriate. Some questions and some item details may not be relevant to you.

You might like to include copies of any relevant Contract/Policy documents to ensure accuracy of information. We often find, on examining a document, that a policy or contract may not be what you think it is! These types of documents can be quite confusing; so let us help you check them over where necessary.

The more we know about you, and the better we understand you, the more we are able to help you. Too much information is better than too little. Therefore, please feel free to say as much as you like or what you consider relevant. You do not need to repeat any information already provided via an associated document.

In the interest of understandings and agreements, we will always provide you with file copies of all documentation and transactions pertaining to you as our Client.

Financial Services & Markets Act 2000

As Independent Financial Advisres it is our duty, in accordance with the Financial Conduct Authority, to always act in your best interests. We must therefore ensure that we are fully aware of your relevant financial circumstances, attitudes, and objectives in order to provide you with appropriate and effective advice.

Data Protection Act 1998

The information recorded in this document will be retained by us, and will form the basis of your Client Information File. We will only use this information for its intended purpose of providing you with Independent Financial Advice and other associated services in our professional capacity. We will not otherwise disclose or share this information with anyone else for any other purpose.

About You / Your Partner / Your Family

Self	
Title	
Forenames	
Surname	
DOB / Age	
N.I. Number	
Gender	
Marital Status	
Nationality	
Residency	
Occupation	
Job Title/Description	
Employer/Business	
Income Status	
Gross Annual Income	
Net Monthly Income	
Monthly Cost of Living	
Other Monthly Spending	
Monthly Balance	
Telephone	
Home	
Mobile	
Email Address	
Personal	
Other	
Bank Account	
Account Name	
Account No	
Bank Name	
Sort Code	
Transact Account	
Start Date / Value	

Partner	
Title	
Forenames	
Surname	
DOB / Age	
N.I. Number	
Gender	
Marital Status	
Nationality	
Residency	
Occupation	
Job Title/Description	
Employer/Business	
Income Status	
Gross Annual Income	
Net Monthly Income	
Monthly Cost of Living	
Other Monthly Spending	
Monthly Balance	
Telephone	
Home	
Mobile	
Email Address	
Personal	
Other	
Bank Account	
Account Name	
Account No	
Bank Name	
Sort Code	
Transact Account	
Start Date / Value	

Home Address (Main Residence)

Street / Town	
County / PostCode	

Other Family Members / Financial Dependants / Future Beneficiaries

Name	DOB	Age	Relationship	Dependant/Resident

Your Financial Needs & Objectives

Please tell us about your Financial Objectives. The more you can tell us the better we can help you. Therefore, please say too much rather than too little. Use the headings to prompt you for the information you should provide - which can include known objectives, preferred outcomes, and your financial attitudes.

What.. do you want to achieve?	**Why..** do you want to do this?	**Who..** is it for?	**When..** do you want to do this?	**How...** important is it?
<u>Objective 1.</u>				
<u>Objective 2.</u>				
<u>Objective 3.</u>				
<u>Objective 4.</u>				

Your Assets & Liabilities	Please detail all your important Financial Accounts including Bank Accounts, Savings Accounts, ISA's, Investments, Pensions, Mortgages, & Loans.	Valuation Date:	26 April 2024

Asset/Debt	Provider	Holding	Contract	Acct No	Owner(s)	Units	Price	Value	Totals	Monthly Credits	Monthly Debits
Totals		**0**							**£0**	£0	£0

Color Key: Low Risk/Return Assets (Grade 1-3) Medium Risk/Return Assets (Grade 4-6) Higher Risk/Return Assets (Grade 7-10)

All quoted values are as at the above Valuation Date or as Last Known; and are subject to change at any given future time, and in accordance with the nature of the asset.

Notes: Please add any notes or complete any further details that may be useful.

Your Estate

	Self	Partner
1. Wills: Do you have a Will?		
Is it up-to-date?		
Is it Registered and/or Stored Securely?		

	Self	Partner
2. Lifetime Liabilities:		
Are you due to pay out any significant sums of money at any time in the future? If yes, details?		
3. Lifetime Receipts:		
Are you expecting to receive any significant sums of money at any time in the future? If yes, details?		

4. Estate Value:

	Self	Spouse	Total
Main Residence			£0
Other Residence Properties			£0
Home Contents & Personal Belongings			£0
Cars/Boats/Caravans etc			£0
Cash			£0
Investments			£0
Pensions			£0
Assets in Trust			£0
Mortgages & Secured Loans			£0
Credit Cards & Personal Loans			£0
Gross Estate Value			£0
Net Estate Value minus Debts/Pensions/Trusts			£0
IHT Single/Joint Allowance	£500,000	£500,000	£1,000,000
Taxable Value	£0	£0	£0
Tax Liability @ 40%	£0	£0	£0

Please tell us anything else you think we should know about the Valuation or Inheritance Arrangements of your Estate: