

CLIENT IDENTITY VERIFICATION

In conjunction with us beginning to provide you with Regulated Financial Advice, it is a regulatory requirement in accordance with the **UK Money Laundering Prevention Regulations 2007** for us to correctly confirm your **Personal Identity** and **Residential Address** in compliance with FCA Rules (Financial Conduct Authority).

We are thus obliged to hold on file suitable documentary evidence for this purpose alone, and we are not permitted to complete any transactional work for you without it.

Therefore, would you please provide us with two separate documents from the list below:

ONE document for the verification of your **NAME (1)**

and

ONE other document for the verification of your **ADDRESS (2)**

Please provide a clear photocopy or scan-copy of each of your **NAME** and **ADDRESS** documents from the list below:

1. Proof of NAME

Photo Passport

Photo Driving Licence

2. Proof of ADDRESS *

Bank Statement

Council Tax Statement

* A joint address may be verified by one such joint document.

3. BANK ACCOUNT Verification

If you are opening a new Client Account with Transact, they will also need secure verification of the Personal Bank Account you wish to use in conjunction with your **Transact Client Account**. This is for your own protection.

Therefore, when your new Transact Client Account has been established, you will be asked to make a **£1 Payment** into it so as to securely verify your linked **Personal Bank Account**. This payment will automatically accrue to your same Transact Account, and we will provide you with complete instructions for this in due course.

Thank you for your necessary cooperation.

